

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER ZIMR/0018 10/539179
INTERNATIONAL APPLICATION NO. PCT/EP2003/014140	INTERNATIONAL FILING DATE 12 December 2003 (12.12.2003)	PRIORITY DATE CLAIMED 17 December 2002 (17.12.2002)
TITLE OF INVENTION MULTI-AXIS COMPOUND LENS, BEAM SYSTEM MAKING USE OF THE COMPOUND LENS, AND METHOD USING THE COMPOUND LENS		
APPLICANT(S) FOR DO/EO/US Jürgen FROSIEN, Pavel ADAMEC		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.		
3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> has been communicated by the International Bureau.		
c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).		
a. <input type="checkbox"/> is attached hereto.		
b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))		
a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).		
b. <input type="checkbox"/> have been communicated by the International Bureau.		
c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.		
d. <input checked="" type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). – UNEXECUTED		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input type="checkbox"/> A power of attorney and/or change of address letter.		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: WO2004/055856 A3, with International Search Report		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/539179 To be assigned		INTERNATIONAL APPLICATION NO. PCT/EP2003/014140		ATTORNEY'S DOCKET NUMBER ZIMR/0018					
The following fees are submitted: 21. <input checked="" type="checkbox"/> Basic national\$300				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300.00</td> <td></td> </tr> </table>		CALCULATIONS	PTO USE ONLY	\$ 300.00	
CALCULATIONS	PTO USE ONLY								
\$ 300.00									
22. <input checked="" type="checkbox"/> Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100 All other situations\$200				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 200.00</td> <td></td> </tr> </table>		\$ 200.00			
\$ 200.00									
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority\$100 International Search Report prepared and provided in the Office\$400 All other situations\$500				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 400.00</td> <td></td> </tr> </table>		\$ 400.00			
\$ 400.00									
TOTAL OF 21, 22 and 23 =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 900</td> <td></td> </tr> </table>		\$ 900			
\$ 900									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>					
- 100 =	0/50 =		x \$250	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0</td> <td></td> </tr> </table>		\$ 0			
\$ 0									
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
Total claims	28 - 20 =	8	x \$50	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 400</td> <td></td> </tr> </table>		\$ 400			
\$ 400									
Independent claims	3 - 3 =	0	x \$200	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0</td> <td></td> </tr> </table>		\$ 0			
\$ 0									
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 0</td> <td></td> </tr> </table>		\$ 0			
\$ 0									
TOTAL OF ABOVE CALCULATIONS =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1300</td> <td></td> </tr> </table>		\$ 1300			
\$ 1300									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
SUBTOTAL =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1300</td> <td></td> </tr> </table>		\$ 1300			
\$ 1300									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
TOTAL NATIONAL FEE =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1300</td> <td></td> </tr> </table>		\$ 1300			
\$ 1300									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
TOTAL FEES ENCLOSED =				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1300</td> <td></td> </tr> </table>		\$ 1300			
\$ 1300									
Amount to be refunded:				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									
Amount to be charged:				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>		\$			
\$									

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

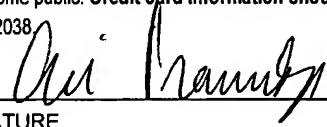
b. ☒ Please charge my Deposit Account No. 20-0782/ZIMR/0018/AOP in the amount of \$ 1300.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-0782/ZIMR/0018/AOP. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND ALL CORRESPONDENCE TO:

B. Todd Patterson
MOSER, PATTERSON & SHERIDAN, L.L.P.
3040 Post Oak Blvd., Suite 1500
Houston, TX 77056-6582


 SIGNATURE
 Ari Pramudji
 NAME
 45,022
 REGISTRATION NUMBER

10/539179

PATENT
Atty. Dkt ZIMR/0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC20 Rec'd PCT/PTO 1 6 JUN 2005

In re Application of:
FROSIEN, et al.

Serial No.: To Be Assigned

Filed: Herewith

For: MULTI-AXIS COMPOUND LENS,
BEAM SYSTEM MAKING USE
OF THE COMPOUND LENS,
AND METHOD USING THE
COMPOUND LENS§
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Int'l App.: PCT/EP2003/0014140

Int'l Filing Date: 12 December 2003

Priority Date: 17 December 2002

Atty Docket: ZIMR/0018

MAIL STOP PCT
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE UNDER 37 CFR 1.10

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on June 16, 2005 with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV335477244US, addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 16, 2005
DateAri Pramudji
Signature

LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2) Copy of International Application as filed;
- 3) Copy of WO2004/055856 A3, with International Search Report;
- 4) Information Disclosure Statement;
- 5) Preliminary Amendment;
- 6) Unexecuted Combined Declaration and Power of Attorney; and
- 7) Return Postcard.

Respectfully submitted,

Date: June 16, 2005

Ari Pramudji

Ari Pramudji
 Registration No. 45,022
 MOSER, PATTERSON & SHERIDAN, LLP
 3040 Post Oak Blvd., Suite 1500
 Houston, Texas 77056
 Telephone 713/623-4844
 Facsimile 713/623-4846
 Attorneys for Applicant